

**Summary of Questionnaire**  
**Export Procedure of Waste under the Basel Convention**

	Organization which the export application should be submitted to	Necessary condition of waste exporter (e.g., license, etc)	Export application form	Other necessary documents	Confirmation of ESM of waste in importing countries	Process of export application	Days needed to obtain export permission	
							Granting the approval of export from C/A to exporter	Transfer of notification of State of import or transit from C/A to local offices
Brunei Darussalam	Yes, they need to be registered under Department of Environment, Parks and Recreation, Negara Brunei Darussalam	Brunei uses the notification form provided by Basel Convention and is downloaded via website and it is only written in English language.	-	No	Via the movement document and also by updated information given by exporter	Information of export application is notified to the country of destination by fax or postal service	-	-
Cambodia	In case of export of hazardous waste: The exportation of the hazardous waste from the Kingdom of Cambodia to abroad could be conducted if there are an agreement from the Ministry of Environment, export license from the Ministry of Trade, and permit from the import country. The exportation of the hazardous waste shall be consistent with the provisions and principles of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal.	Waste exporters should ask permission from Ministry of Environment, Ministry of Commerce for licenses, and Ministry of Finance for Tax payment. Kind of waste shall be consistent with the provisions and principles of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal.	Permission letter from import country.	Permission letter from import country.Kinds and quantity of hazardous waste.	-	-	N/A	
China	CA: Division of Solid Wastes Management, Department of Pollution Prevention and Control, Ministry of Environmental Protection, China	Exporters do not need to be registered, but have to get permits from MEP.	The form is the same as the Basel or OECD form and downloadable from the website. The form can be filled out by both local language and English.	-	Receipt of the movement document from a disposer on the disposal completion	Information of export application is notified to the country of destination by fax or postal service after domestic examination	20 days	-
Hong Kong, SAR	For controlled waste export from Hong Kong, the exporter should submit the application for a waste export permit to the EPD. The only responsible office in the Hong Kong Special Administrative Region of China is Territorial Control Office, EPD.	A waste export permit is required for exporting controlled waste. If the waste is classified as chemical waste under the WDO, the local parties involved in handling the waste will need to comply with the requirements of the WDO and the Waste Disposal (Chemical Waste)(General) Regulation before export.	The application form (in English & Chinese) can be downloaded from the following website: <a href="http://www.epd.gov.hk/epd/english/environmentinhk/waste/guide_ref/files/export_appn_form.pdf">http://www.epd.gov.hk/epd/english/environmentinhk/waste/guide_ref/files/export_appn_form.pdf</a>	(i) The applicant's Business Registration Certificate; (ii) A contingency plan showing the procedures to be followed in case of accidents; (iii) Documents showing the existence of the liability insurance to cover claims arising out of damage which may result from the import/export operation; (iv) Documents showing a bond or other financial guarantee to the competent authority for the cost of any return and disposal of the said waste as required by the concerned competent authority; (v) Contractual arrangement for the export, import and disposal or reuse of the said waste; (vi) Authorisation by the competent authority of the Region/Country of import on importing the said waste; and (vii) Record of performance of the waste disposer.	The competent authority of the state of import is requested to confirm that the facility is environmentally sound before a waste export permit is granted. The permittee is required to submit the movement tracking documents.	The application will be examined and the waste generating facility and the waste will be inspected. After receiving all required documents, the application form, supporting documents and reply slips will be sent to the competent authorities of the country(ies) of import and/or transit by fax and by post.	15 days  (*The average permit processing calendar days in the past three years)	not applicable
Indonesia	The only Competent authority to the Basel Convention for Republic of Indonesia is the Ministry of Environment which its function carries out by Deputy Minister for Hazardous Wastes and Toxic Substance Management which also act as the focal point	No specific registration is needed to be hazardous waste exporters. Hazardous waste exporters may be the owner of the waste or third party that inquired by the waste's owner. However, only legal entities (not individuals) may be allowed to apply for an export permission of hazardous wastes.	For notification purposes, usually the form of the Basel Convention is used. For exportation to EU member countries, the OECD form is used. Both notification form and movement document are written in English.	Yes. All applicants should fill out a general questionnaire to provide more detailed information to the CA (in English). In addition, applicant should also submit an application form, laboratory result on waste, technical information on waste characteristics, insurance, letter of appointment from waste generator to third party (if necessary), approval from the owner of waste (for particular hazardous waste such as spent catalyst) and copy of contract between exporter and importer.	The competent authority will reject any export application where no ESM scheme has been established in importing country. Permit granted by the CA in importing country indicates that ESM of hazardous imported waste is in place in the destined countries.	Send the original letter by postal service and at the same time fax copy so that the competent authority in importing countries can receive necessary information in advance.	1 week  *amount of days may vary, but plan of shipment(s) is considered.	not applicable
Korea	Exporters should submit their applications to one of the four River Basin Environmental Office(Han, Nakdong, Geum, and Yeongsan) and the three Regional Environmental Offices(Wonju, Daegu, Jeonju) in Korea.	Any person who intends to export wastes is required to obtain a license from the Minister of Environment.	The form is the same as that of the Basel or the OECD and downloadable from the website. The form can be filled out by both Korean language and English.	1. The export contract or the order sheet stating that the wastes concerned are managed in an environmentally sound manner and the export price is the free on board (F.O.B) price 2. The domestic transportation contract specifying the routes and means of transportation, and the name of the transporter of exported wastes 3. In cases of wastes notified by the Minister of Environment, the test record on exported wastes issued by an agency which is authorized and notified by the Minister of Environment 4. In cases where the export is carried out through an agent, documents supporting traffic with the generator of the wastes, such as an export agency contract 5. A plan for the package export, in which the export volume is recorded by the customs offices at the port of entry for the exported wastes, by the expected date of export or by the expected month of export 6. The payment receipt of waste export license fee 7. An insurance policy or other guarantee if a state of import or transit demands it	The Minister of Environment may grant permission thereon only when no technology or facilities are available domestically to treat wastes in a sound and proper manner and when such wastes are needed as raw materials for recycling industries of the state of import. And he/she shall obtain the consent of the state of import and state of transit of wastes which he/she desires to export.	Information of export application is notified to the country of destination by fax or postal service.	12 days	-
Philippines	Hazardous Waste Management SectionEnvironmental Quality DivisionEnvironmental Management BureauDepartment of Environment and Natural ResourcesQuezon City, PhilippinesThere is only one office of the competent authority for Basel Convention in the Philippines and it located in Metropolitan Manila as mentioned in the address above.	-	Basel Notification Form is adopted by the Philippines downloadable from the EMB website and it is in English.	Yes, there is and available at the website.	Receipt of the movement document from a disposer on the disposal completion	We, as Competent Authority, duly notify and seek consent from the transit countries on the export of hazardous waste.	-	-

**Summary of Questionnaire**  
**Export Procedure of Waste under the Basel Convention**

	Organization which the export application should be submitted to	Necessary condition of waste exporter (e.g., license, etc)	Export application form	Other necessary documents	Confirmation of ESM of waste in importing countries	Process of export application	Days needed to obtain export permission	
							Granting the approval of export from C/A to exporter	Transfer of notification of State of import or transit from C/A to local offices
Singapore	Competent Authority Director, Pollution Control Department, National Environment Agency 12th Storey, Environment Building, 40 Scotts Road, Singapore 228231	Waste importer shall be a company registered under the Accounting and Corporate Regulatory Authority of Singapore (ACRA).	The form for import/export/transit application can be obtained from <a href="http://www.nea.gov.sg/cms/pcd/basel_p9.pdf">http://www.nea.gov.sg/cms/pcd/basel_p9.pdf</a> The form is available in English only.	The following documents are to be submitted together with the Basel Export application form:- (i) Certified true copy of the contractual agreement between the exporter and the importer (ii) Original copy of the Basel Notification form (iii) Original Bankers Guarantee of S\$100,000 (cap at S\$200,000) in favour of NEA (iv) Photostat copy of applicant company's ACRA information (v) Photostat copy of applicant Identification Card (IC) (vi) Other supporting documents, if any.	Movements are tracked by movements documents. Banker's Guarantee is returned to permit holder once all shipments under the permits are completed AND notification received via movement documents to show that wastes have been treated and disposed of in safe manner.	PCD will write officially to notify and seek import and transit consent from the Basel competent authorities of the importing and transit countries (if any) enclosing a copy of the completed Basel Notification form, a copy of the contractual agreement and a copy of the undertaking letter for Banker's Guarantee	7 days (upon receipt of all relevant documents and consent from competent authority)	N/A
Thailand	Submit to the Department of Industrial Works(DIW). Ministry of Industry There is only one office located in Bangkok.	There is no requirement to register as a exporter with DIW. ,in order to apply for export permit. But an exporter have to show their identification ie a registration with Ministry of Commerce.	After the Basel procedures are approved. Exporters are required to submit application form for export permit, according to national Law; Hazardous Substances Law. The application form can be downloaded from DIW's website : <a href="http://Diw.go.th">Diw.go.th</a> . All forms are in Thai..	List of waste generator, process which such wastes are generated, contract between exporter and importer, contract with waste treatment / recycle / recovery facilities, results of laboratory test and bank guarantee	At least CA of importing country have approved the status of treatment facility along Basel procedure, If consent is not approved. DIW will not approve export permit under national law.	Application and all supporting documents are sent to CA of transit and importing country. No further export permit procedures under national law are proceed unless DIW receive consent approval from transit and importing country.	30 days	-
Vietnam	Vietnam Environment Agency (VEA). VEA has several representatives in Vietnam, however, only the head quarter office in Hanoi is authorized to receive and examine the application for export. Via-Email submission of the application is acceptable.	No, they are not. VEA requires that importing country should notify it that they agree for the importation of HZ wastes to their country.	VEA has no specific application form for exportation of HZ wastes. The Basel Convention form is applicable, and English version is also acceptable. This form is available as an Annex of Circular No. 12/2006/TT-BTNMT of MONRE.	Copy of contract between importer and exporter	VEA has no requirement for the confirmation of ESM treatment of HZ wastes in the importing country	VEA sends an official notification to CA of importing country (Basel Convention form is used and a letter of VEA will be attached) by facsimile. Within 15 days of receiving the response from importing country, VEA will notify the exporter by facsimile and posting.	15 days	not applicable
Netherlands	SenterNovem, The Hague (on behalf of the policy dept. of the Ministry of Environment) Just 1 office	Yes (VIHB)	The general (Basel-)Notification form (and tracking forms for each shipment)It can be obtained at: Drukkerij Romer BV, Adres: Bokelweg 64, Schiedam. The Netherlands	Annex VII form for greenlisted (non hazardous) wasteContract between the person who arranges the shipment and the consignee.	It has to be confirmed by the consignee by signing the tracking form (hazardous waste) and declaring that the waste has been recovered/disposed.	After receiving the notification form the competent authority (CA) of the country of destination will check if the facility for recovery has the necessary lincense. Also ther e must be proved that the financial guarantee is sufficient.	30 days	Not applicable