

Precaution for filling in the Basel Notification document for Japan

When you apply the Basel notification to Japan, filling in the shaded parts below are a must. Fill in the all shaded parts. We can not accept a notification with false information or an empty of the parts. If a deficiency is found on the notification, amended notification from exporting country may be needed. You do not want to spend a long period of time on such a trouble. Be aware not to apply the Basel notification to the competent authority of the state of export with a deficiency. Since the shaded parts are what we think as necessary, exporting country could ask you to fill in other parts of this sheet.

-Although this sheet is from the Basel Convention homepage, an each country could use a different sheet.

Notification document for transboundary movements/shipments of waste

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| 1. Exporter - notifier Registration No: Name: Address: Fill in the exporter's name, address, contact person and Tel and Fax number Contact person: Tel: Fax: E-mail: | 3. Notification No: A: If the shipment takes place only one time, mark Individual shipment. If the shipment takes place multiple times, mark Multiple shipments. B: If the shipment is destined to a final disposal, mark the Disposal. If the shipment is destined to a recycle or a recovery, mark Recovery. Notification concerning A.(i) Individual shipment: <input type="checkbox"/> (ii) Multiple shipments: <input type="checkbox"/> B.(i) Disposal (1): <input type="checkbox"/> (ii) Recovery: <input type="checkbox"/> C. Pre-consented recovery facility (2;3) Yes <input type="checkbox"/> No <input type="checkbox"/> Fill in the intended number of shipments. 4. Total intended number of shipments: Attention, you can not export more than the number you wrote here. |
| 2. Importer - consignee Registration No: Name: Address: Fill in the importer's name, address, contact person and Tel and Fax number Contact person: Tel: Fax: E-mail: | 5. Total intended quantity (4): Fill in total intended quantity. Tonnes (Mg): Attention, you can not export more than the quantity you wrote here. m ³ : 6. Intended period of time for shipment(s) (4): Fill in the intended period of time for shipment. First departure: Last departure: Fill in the packaging type. 7. Packaging type(s) (5): Attention, you can not export other than the packaging type you wrote here. Special handling requirements (6): Yes: No: |
| 8. Intended carrier(s) Registration No: Name(7): Address: Fill in the intended carrier's name, address, contact person and Tel and Fax number Contact person: Tel: Fax: E-mail: Means of transport (5): | 11. Disposal / recovery operation(s) (2) D-code / R-code (5): Fill in an applicable code from annex IV of the Basel Convention. Technology employed (6): Reason for export (1;6): |
| 9. Waste generator(s) - producer(s) (1;7;8) Registration No: Name: Address: Fill in the generator's name, address, contact person and Tel and Fax number Contact person: Tel: Fax: E-mail: Site and process of generation (6) | 12. Designation and composition of the waste (6): 13. Physical characteristics (5): 14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): Fill in an applicable code from annex VIII of the Basel Convention. (ii) OECD code (if different from (i)): |

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| 10. Disposal facility (2): or recovery facility (2): Registration No: Name: Address: Fill in the disposer's name, address, contact person and Tel and Fax number Contact person: Tel: Fax: E-mail: Actual site of disposal/recovery: | (iii) EC list of wastes: (iv) National code in country of export: (v) National code in country of import: (vi) Other (specify): (vii) Y-code: Fill in an applicable code from annex I of the Basel Convention. (viii) H-code (5): Fill in an applicable code from annex III of the Basel Convention. (ix) UN class (5): (x) UN Number: (xi) UN Shipping name: (xii) Customs code(s) (HS): |
| 15. (a) Countries/States concerned, (b) Code no. of competent authorities where applicable, (c) Specific points of exit or entry (border crossing or port) | |
| State of export – dispatch | State(s) of transit (entry and exit) |
| (a) Name of the export country | Japan |
| (b) | |
| (c) | |
| 16. Customs offices of entry and/or exit and/or export (European Community): | |
| Entry: | Exit: |
| 17. Exporter's - notifier's / generator's - producer's (1) declaration: Signature by exporter or generator | |
| I certify that the information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary movement. | |
| Exporter's - notifier's name: Date: Signature: Generator's - producer's name: Date: Signature: | 18. Number of annexes attached |
| FOR USE BY COMPETENT AUTHORITIES | |
| 19. Acknowledgement from the relevant competent authority of countries of import - destination / transit (1) / export - dispatch (9): Country: Notification received on: Acknowledgement sent on: Name of competent authority: Stamp and/or signature: | 20. Written consent (1,8) to the movement provided by the competent authority of (country): Consent given on: Consent valid from: until: Specific conditions: No: If Yes, see block 21 (6): Name of competent authority: Stamp and/or signature: |
| 21. Specific conditions on consenting to the movement document or reasons for objecting | |

(1) Required by the Basel Convention

(2) In the case of an R12/R13 or D13-D15 operation, also attach corresponding information on any subsequent R12/R13 or D13-D15 facilities and on the subsequent R1-R11 or D1-D12 facility(ies) when required

(3) To be completed for movements within the OECD area and only if B(ii) applies

(4) Attach detailed list if multiple shipments

(5) See list of abbreviations and codes on the next page

(7) Attach list if more than one

(8) If required by national legislation

(9) If applicable under the OECD Decision

Below are list of codes.

List of abbreviations and codes used in the notification document

DISPOSAL OPERATIONS (block 11)

- D1 Deposit into or onto land, (e.g., landfill, etc.)
- D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc.)
- D3 Deep injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)
- D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc.)
- D5 Specially engineered landfill, (e.g., placement into lined discrete cells which are capped and isolated from one another and the environment, etc.)
- D6 Release into a water body except seas/oceans
- D7 Release into seas/oceans including sea-bed insertion
- D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list
- D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g., evaporation, drying, calcination, etc.)
- D10 Incineration on land
- D11 Incineration at sea
- D12 Permanent storage, (e.g., emplacement of containers in a mine, etc.)
- D13 Blending or mixing prior to submission to any of the operations in this list
- D14 Repackaging prior to submission to any of the operations in this list
- D15 Storage pending any of the operations in this list

RECOVERY OPERATIONS (block 11)

- R1 Use as a fuel (other than in direct incineration) or other means to generate energy (Basel/OECD) - Use principally as a fuel or other means to generate energy (EU)
- R2 Solvent reclamation/regeneration
- R3 Recycling/reclamation of organic substances which are not used as solvents
- R4 Recycling/reclamation of metals and metal compounds
- R5 Recycling/reclamation of other inorganic materials
- R6 Regeneration of acids or bases
- R7 Recovery of components used for pollution abatement
- R8 Recovery of components from catalysts
- R9 Used oil re-refining or other reuses of previously used oil
- R10 Land treatment resulting in benefit to agriculture or ecological improvement
- R11 Uses of residual materials obtained from any of the operations numbered R1-R10
- R12 Exchange of wastes for submission to any of the operations numbered R1-R11
- R13 Accumulation of material intended for any operation in this list.

PACKAGING TYPES (block 7)

1. Drum
2. Wooden barrel
3. Jerrican
4. Box
5. Bag
6. Composite packaging
7. Pressure receptacle
8. Bulk
9. Other (specify)

MEANS OF TRANSPORT (block 8)

R = Road
 T = Train/rail
 S = Sea
 A = Air
 W = Inland waterways

PHYSICAL CHARACTERISTICS (block 13)

1. Powdery/powder
2. Solid
3. Viscous/paste
4. Sludgy
5. Liquid
6. Gaseous
7. Other (specify)

H-CODE AND UN CLASS (block 14)

| UN Class | H-code | Characteristics |
|----------|--------|--|
| 1 | H1 | Explosive |
| 3 | H3 | Flammable liquids |
| 4.1 | H4.1 | Flammable solids |
| 4.2 | H4.2 | Substances or wastes liable to spontaneous combustion |
| 4.3 | H4.3 | Substances or wastes which, in contact with water, emit flammable gases |
| 5.1 | H5.1 | Oxidizing |
| 5.2 | H5.2 | Organic peroxides |
| 6.1 | H6.1 | Poisonous (acute) |
| 6.2 | H6.2 | Infectious substances |
| 8 | H8 | Corrosives |
| 9 | H10 | Liberation of toxic gases in contact with air or water |
| 9 | H11 | Toxic (delayed or chronic) |
| 9 | H12 | Ecotoxic |
| 9 | H13 | Capable, by any means, after disposal of yielding another material, e. g., leachate, which possesses any of the characteristics listed above |

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y-codes, can be found in a Guidance/Instruction Manual available from the OECD and the Secretariat of the Basel Convention.

If you need more detailed information, please refer the Basel Convention homepage.
<http://www.basel.int/techmatters/forms-notif-mov/vCOP8.doc>