

# **Overview of**

**Transboundary Movement of Hazardous and Other Wastes** 

in the Asia

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# Session 2: Border Control Activities for Tackling Illegal Transboundary Movement of Hazardous Wastes

#### **Underlying Assumptions**

# **Current situation**

- Necessary to deepen the understanding of TBM of HW
- Analysis of the reasons behind for illegal TBM without ESM

# **Customs** authorities

- A key role in tackling illegal TBM of HW
- First to be in a position to discover illegal traffic

# Sharing experiences

- Experiences and difficulties by Customs in enforcing the Basel Convention
- Discussion of possible joint control measures with CA and Customs

## Cooperation

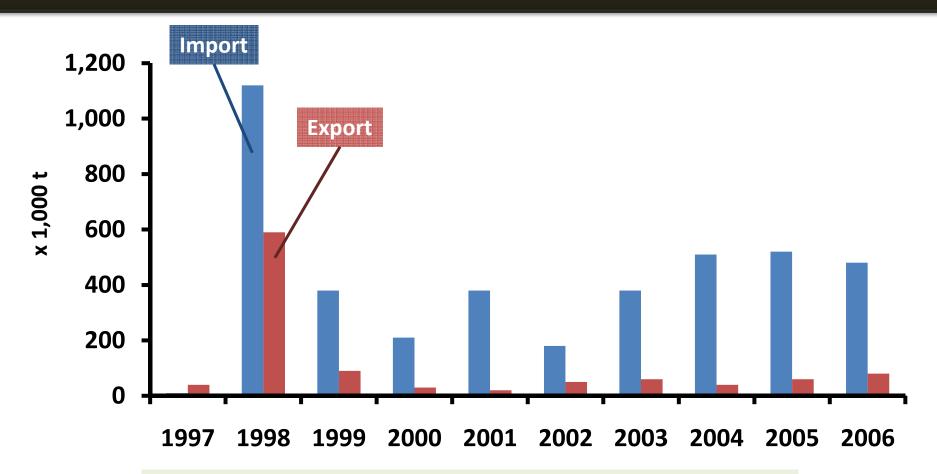
 Ongoing activities by international organizations for combating environmental crime, which are relevant to the scope of the Basel Convention

### Introduction

# - Identification and Analysis of TBM of HW in Asia -

Data source	Basel Convention National Reporting (Export-, Import-based Data), etc		
Group	OECD (Annex VII) Non-OECD (non-Annex VII )		
Categorization of HW	<u>Difficult-to-treat</u> <u>waste</u>	<u>Metallic waste</u>	<u>Recyclable waste</u>
	<u>Hg wastes,</u> <u>PCB wastes</u> , etc	Lead-acid battery, e-waste, iron, copper, zinc, precious metals, etc	Blast furnace slag, plastics, fly ash, oil, solvent, OA eqpt, etc
Note for data analysis	<ul> <li>Not all the parties report</li> <li>Gap between export- and import-based data</li> <li>Difference of reporting modality <ul> <li>Amount of notified, exported/imported or treated</li> <li>HW name under the Basel Convention, or national definition, or only Y code, etc</li> </ul> </li> </ul>		

#### **Overall Trend of TBM in Asia**

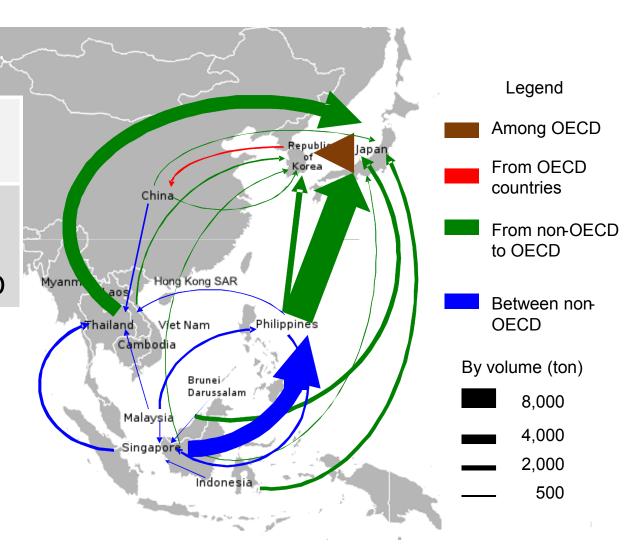


- Great variance and no clear time-series trend
- Amount imported surpasses amount exported
- Asia functions as an importer of HWs

## **Overall Trend of TBM in Asia (2006)**

- Export-based Data, SBC National Reporting -

- Small amount exported from OECD
- Majority traded from non-OECD to OECD and among non-OECD

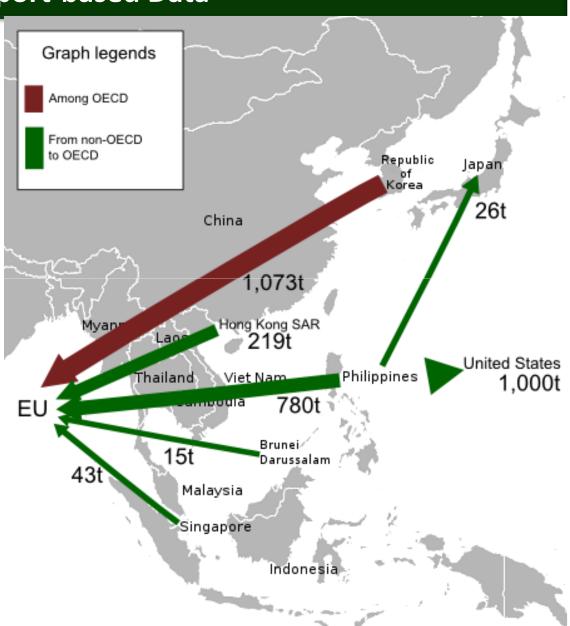


# **Comparison Table**

	Ave amount	GDP PPP (USD)		Recycling and treatment facilities	
	of import (2001-2006)	1995	2008	(National Reporting 2006, etc)	
Malaysia	213,215	7,521	14,081	104 recycling facilities (2006) 16 full and 117 partial recovery contractors for E-waste	
Rep. Korea	107,953	12,766	27,692	A large number of facilities established	
Indonesia	47,759	2,265	3,980	25 recycling facilities (2006) 225 permits for B3 waste management (2004)	
Philippines	21,468	1,981	3,515	114 treatment/storage/disposal facilities (2009)	
Japan	4,225	22,464	34,116	19,164 recycling/treatment facilities (2006) 2,335 final disposal sites (2006)	
Thailand	327	7,256	8,239	1,356 waste treatment/recycling facilities (2009)	
Singapore	62	25,433	51,226	8 recycling facilities (2006) 49 recycling facilities (2009)	
China	0	1,514	5,970	6 recycling facilities permitted by MEP (2006) 741 hazardous waste recovery facilities permitted by local EMB (2006)	
Vietnam	0	1,009	2,794	Limited number of recycling and treatment companies	
Cambodia Honda, Asian NT	0 Workshop 2010	647	2,082	Very few intermediate treatment and recycling facilities	

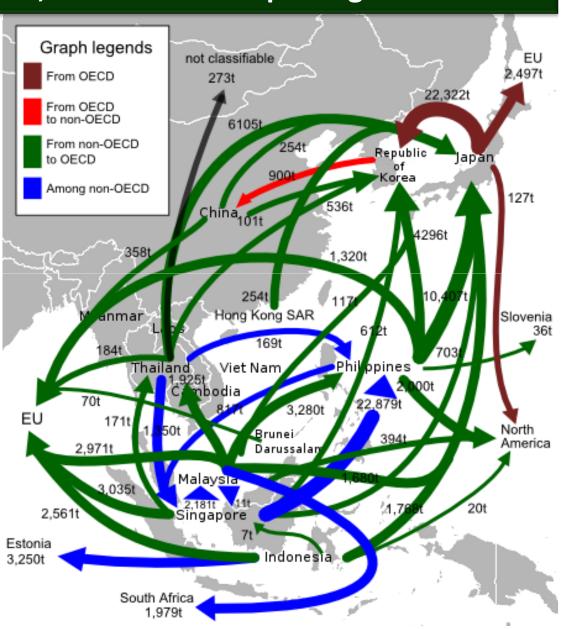
# TBM of Difficult-to-treat HW (2004-2006) - Export-based Data -

- Difficult-to-treat wastes represent mercury wastes (fluorescent lamps) and PCB wastes
- Those are exported to OECD countries for special treatment (incineration and mercury recovery)



# TBM of Metallic HW (2004-2006) - Export-based Data, SBC National Reporting -

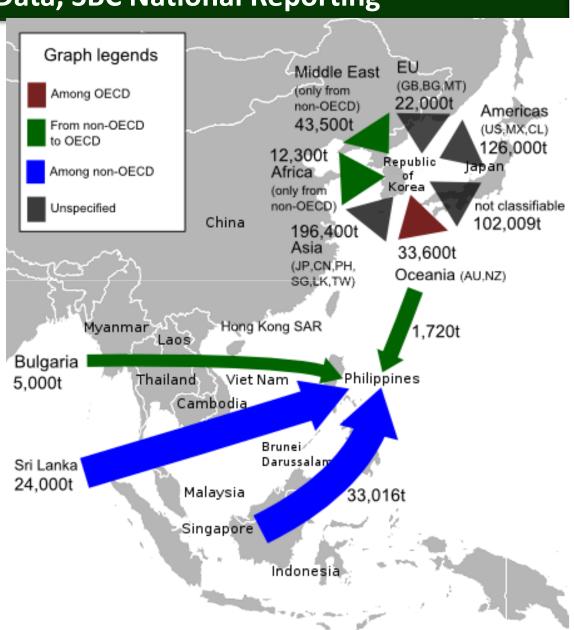
- TBM of HWs for metal recovery is quite active and many non-OECD functions as both importers and exporters
- Asia plays a role as an importer of metallic hazardous wastes in the world



# TBM of Waste Lead-acid Batteries (2004-2006)

- Import-based Data, SBC National Reporting -

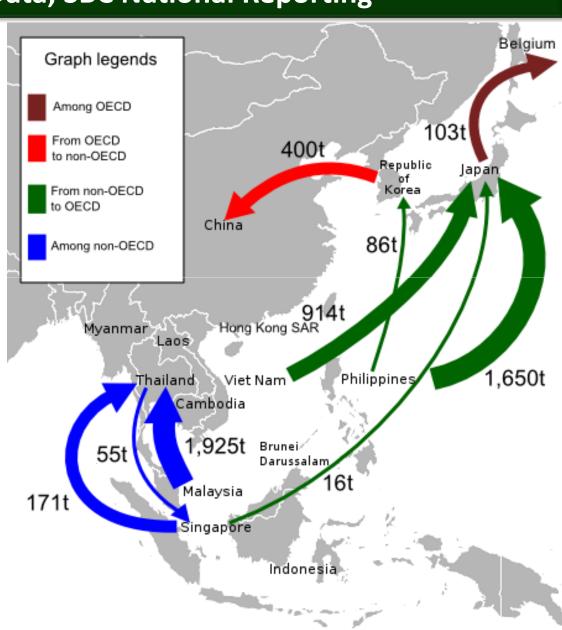
- Republic of Korea and the Philippines are the two exclusive importers implying the existence of recycling facilities
- Indonesia was a major importer before the import ban in 2002



### **TBM of E-waste (2004-2006)**

#### - Export-based Data, SBC National Reporting -

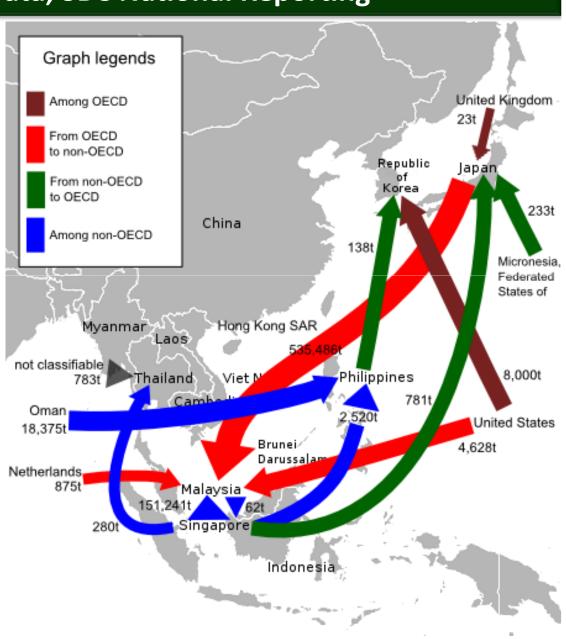
- Japan and Thailand are major importers of ewastes (printed circuit boards and electronic scraps)
- It reflects the regional recycling scheme a Japanese company developed from its social responsibility



## TBM of Recyclable HW (2004-2006)

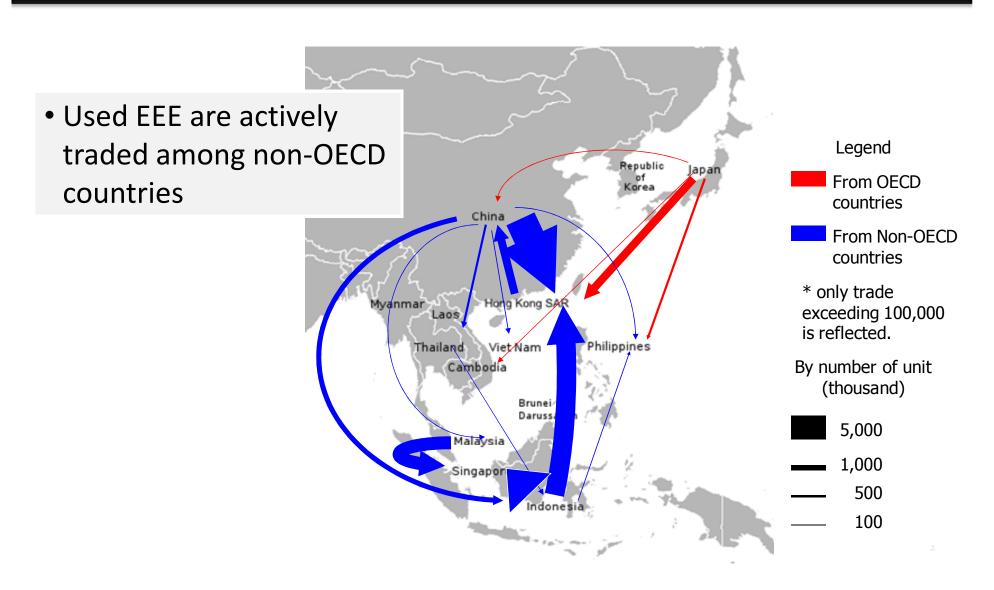
#### - Export-based Data, SBC National Reporting -

- Malaysia imports the great amount of <u>blast</u> furnace slag from the region (HWs defined by national legislation)
- Other recyclable wastes represent <u>waste oil, CRT</u> glass, fly ash, etc.

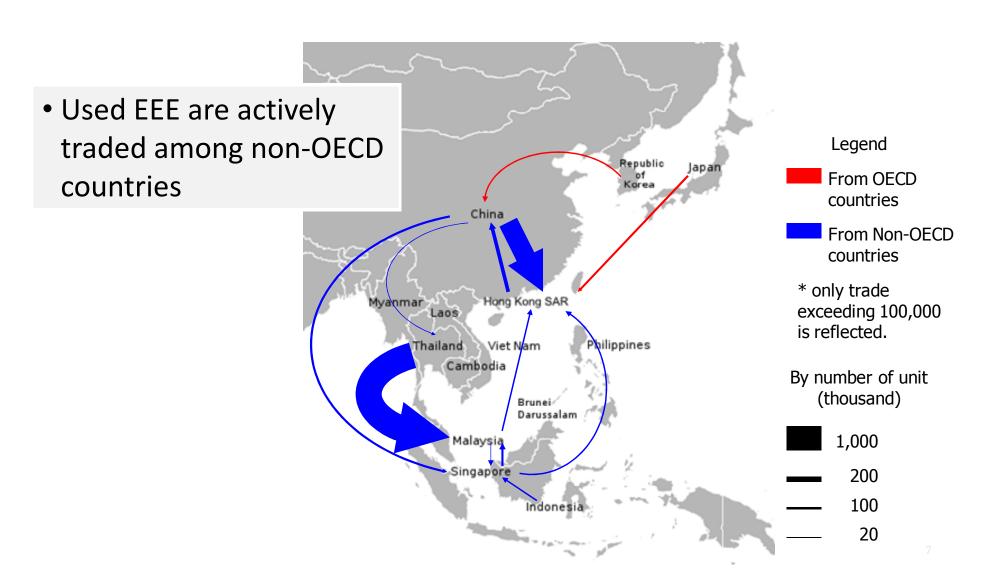


## TBM of Used TV sets (2006)

- Export-based Data, World Trade Atlas 2006 -



# TBM of Used Laptop PCs - Export-based Data, World Trade Atlas 2006 -



# Summary - TBM of HW in the Asia -

Economic development	Rapid economic growth	Higher level of GDP (PPP)	Development of ESM facilities
Amount of TBM	Among non-OECD > Non-OECD → OECD		
Types of HW	Availability of recycling technologies Import restriction regulations		
Trend of TBM	Recyclable HW: non-OECD ↔ OECD  Difficult-to-treat HWs : from non-OECD to OECD		
Used EEE	Among non-OECD		

# **Ambiguity of Interpretation**

Hazardousness

Non Hazardous

**Gray Area** 

Controlled

Gray Area Non Waste (c.f. reuse)

Each party sets different definitions and criteria on HW

Different criteria can result in illicit trading, difficult to be prevented by one side

Insufficient mechanism to share the criteria on hazardousness and waste/non-waste

Disposal operation (waste/non-waste)

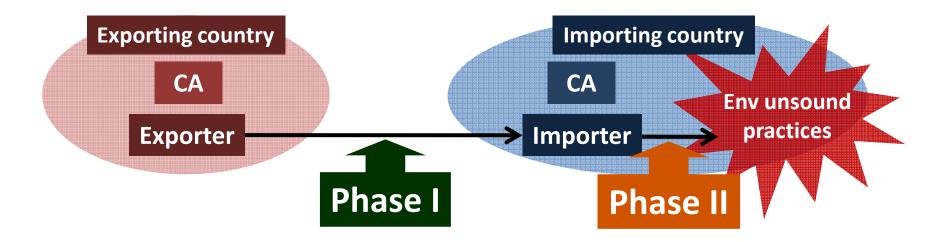
Illegal TBM

TBM of controlled waste without the Basel procedure; controlled by both exporting and importing Parties

**Illicit TBM** 

TBM of gray area waste without the Basel procedure; Often controlled in an importing Party only

# Possible Reasons for TBM of HW where ESM cannot be ensured



Phase I

Reasons why legal TBM of HW in accordance with the Basel Convention cannot be ensured

**Phase II** 

Reasons why legally imported HW ends up in environmentally unsound practices

## **Analysis of Reasons: Phase I**

- Reasons why legal TBM of HW in accordance with the Basel Convention cannot be ensured -

Category of problem	Illegal TBM	Illicit TBM
Direct reason	<ul><li>Lack of capacity</li><li>Lack of training</li></ul>	<ul><li>Lack of legal clarity</li><li>Lack of training</li><li>Default of Art. 3 notification</li></ul>
Indirect reason	<ul><li>Price gap</li><li>Low awareness</li></ul>	<ul><li>Complexity of the provisions</li><li>Low awareness</li></ul>

### **Analysis of Reasons: Phase II**

- Reasons why legally imported HW end up in environmentally unsound practices -

Category of problem	Inappropriate disposal at destined facility	Inappropriate disposal at not destined facility
Direct reason	<ul> <li>CA's insufficient confirmation of facility's ESM capacity</li> <li>Lack of ESM criteria or difference in interpretation</li> </ul>	<ul> <li>CA's insufficient confirmation of completion of disposal (Art. 6.9)</li> </ul>
Indirect reason	<ul> <li>Lack of information on ESM facilities</li> <li>Ineffective legislation /enforcement on ESM of HW</li> </ul>	<ul> <li>Price gap</li> <li>Ineffective legislation /enforcement on ESM of HW</li> </ul>

# Scope of Session 2 - Expected Goals -

Latest information on customs regulations and border control activities by customs authorities in member countries

Sharing difficulties and obstacles in frontline enforcement activities

of the Basel Convention and discuss possible mitigation measures in cooperation with the competent authorities

Ongoing activities by the international orgs for combating environmental crime, which can be supportive to the Basel enforcement activities

Possible collaboration identified among national authorities,

International organizations and the Asian Network

# Scope of Session 2 - Discussion Points -

Illegal/illicit TBM	What are reasons for illegal/illicit TBM where ESM cannot be ensured?
Difficulties/ obstacles	What are difficulties and obstacles in frontline enforcement activities of the Basel Convention?
Possible solution	How can we mitigate those difficulties for better monitoring and detection of illegal TBM of HW?
Strengthening cooperation	What are necessary measures for strengthening cooperation between the customs and competent authorities at the national level?
IGO and the Asian Network	What can be learned from experiences of the international organizations combating for environmental crime, and what can be shared and utilized for the Asian Network?

Honda, Asian NT Workshop 2010

#### For more information:

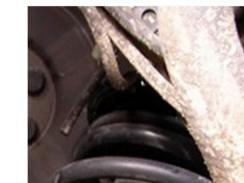
# **Asian Network**





# Thank you





# **APPENDIX**

# TBM of HW in the Asia (cont.)



## **TBM of Used Air Conditioners (2006)**

- Export-based Data, World Trade Atlas 2006 -



# **TBM of Used Desktop PCs (2006)**

- Export-based Data, World Trade Atlas 2006 -

